



STELLENBOSCH UNIVERSITY

CREATIVE RESEARCH OUTPUTS AND INNOVATIONS REPORT, 2022

1. Introduction

South African universities annually submit their creative outputs and innovations subsidy claims to the Department of Higher Education and Training (the Department) for evaluation and allocation of subsidy. All submissions must meet the requirements stipulated in the *Policy on the Evaluation of Creative Outputs and Innovations Produced by Higher Education Institutions (2017)* and the *Implementation Guidelines (2021)*. The policy recognises quality creative research outputs; evaluated outputs are used as proxy for research, thus the subsidy to universities. The policy covers the following sub-fields: *Fine and Visual Arts; Music; Theatre; Performance and Dance; Design; Film and Television and Literary Arts*. For innovations, the policy recognises: *Patents and Plant Breeder's Rights*.

The Department together with the National Research Foundation (NRF) have developed the Research Outputs Submission System (ROSS), for capturing all research outputs produced by universities. To ensure quality of the evaluation process, submitted creative research outputs and innovations are evaluated by field-specific panel of experts using pre-determined evaluation requirements in line with the policy and the policy and *Implementation Guidelines (2021)* developed for this purpose.

Submitted creative research outputs is assessed by the respective subfields to determine scholarly merit/rigour. The approved submitted outputs may be allocated either 0.5, 1 or 2 units. However, the final unit allocation is based on author/contributor proportion. This report presents the outcomes of creative research outputs and innovations for the 2021 submission cycle.

2. Overall Creative Research Output Units Accrued 2019-2022

This section outlines units accrued by Stellenbosch University (SU) from the panel of evaluations from the last four-year cycle 2019-2022.

Table 1: 2019-2022 Units Awarded

Creative Outputs														
	2019			2020			2021			2022			Total	Overall%
	#items	Units	Proportion of sector unit	#items	Units	Proportion of sector unit	#items	Units	Proportion of sector unit	#items	Units	Proportion of sector unit		
CPUT			0.00%	4	4	2.32%	1		0.00%	2	2	1.36%	7	0.67%
CUT	3	1	0.81%	4	1	0.58%	1	0.6666	0.50%			0.00%	8	0.77%
DUT	14	0	0.00%	9	7	4.05%	6	3.3334	2.48%	4	1.5	1.02%	33	3.16%
NMU	10	7	5.69%	16	7.3333	4.24%	7	1	0.75%	5	4	2.71%	38	3.64%
NWU	2	0	0.00%	15	6	3.47%	6	2.5	1.86%	10	1.5	1.02%	33	3.16%
RU	4	3	2.44%	11	4	2.32%	6	0	0.00%	5	3	2.03%	26	2.49%
SU	107	51.6665	41.97%	40	34.445	19.94%	44	34.53	25.74%	41	39.1999	26.58%	232	22.22%
TUT	3	0	0.00%	14	6.111	3.54%	4	3.5	2.61%	16	4.5	3.05%	37	3.54%
UCT	29	25.2858	20.54%	9	10.9167	6.32%	11	3	2.24%	11	10.8078	7.33%	60	5.75%
UJ	7	5	4.06%	32	19.3333	11.19%	11	19	14.16%	18	19	12.88%	68	6.51%
UKZN	5	1	0.81%	4	2	1.16%	1	1	0.75%	6	0	0.00%	16	1.53%
UL				6	3	1.74%			0.00%	2	0	0.00%	8	0.77%
UP	15	13.3095	10.81%	40	35.374	20.48%	23	19.25	14.35%	20	16.9166	11.47%	98	9.39%
UNISA	4	0	0.00%	22	12.8333	7.43%	9	4.2352	3.16%	13	11.2222	7.61%	48	4.60%
UFS	37	7.3333	5.96%	77	9	5.21%	33	20.4999	15.28%	19	10.5	7.12%	166	15.90%
UWC	10	0	0.00%	20	5.75	3.33%	6	0.26	0.19%	3	2	1.36%	39	3.74%
WITS	12	8.49999	6.91%	64	4.667	2.70%	18	21.3888	15.94%	24	17.8333	12.09%	118	11.30%
UNIZ ULU										5			5	0.48%
WSU			0.00%							4	3.5	2.37%	4	0.38%
Total	262	123.095	100.00%	387	172.7636	100.00%	187	134.1639	100.00%	208	147.4798	100.00%	1044	100.00%

Innovations								
	2019		2020		2021		2022	
Institution	Units awarded	%of sector units	Units awarded	%of sector units	Units awarded	%of sector units	Units awarded	%of sector units
CPUT	2	1.38%	0	0.00%	0	0.00%	2	3.70%
NMU	6	4.15%	4	7.26%	6	13.98%	2	3.70%
NWU	0	0.00%	14	25.41%	0	0.00%	2	3.70%
RU	2.67	1.85%	1.6	2.90%	0	0.00%	0	0.00%
SU	47	32.49%	5.6466	10.25%	2.5	5.82%	0	0.00%
UCT	41	28.34%	4.334	7.87%	10	23.29%	26	48.15%
UJ	2	1.38%	0	0.00%	0	0.00%	2	3.70%
UKZN	7	4.84%	0	0.00%	0	0.00%	8	14.81%
UP	15	10.37%	21.11	38.32%	14.0332	32.69%	10	18.52%
UNISA	2	1.38%	2	3.63%	4.4	10.25%	2	3.70%
UFS	0	0.00%	0.4	0.73%	0	0.00%	0	0.00%
UWC	5	3.46%	0	0.00%	4	9.32%	0	0.00%
WITS	16	11.06%	2	3.63%	2	4.66%	0	0.00%
UNIVEN	0	0.00%	0	0.00%	0	0.00%	0	0.00%
Total	144.67	100.00%	55.0906	100.00%	42.9332	100.00%	54	100.00%

In 2019, SU received 51.6665 units accounting for 41.97% of the overall units awarded to the sector. For innovations 47 units were awarded accounting for 32.49% units awarded to the sector. A total of 98.6665 units were awarded in 2019.

In 2020, SU received 34.445 units accounting for 19.94% of the overall units awarded to the sector. For innovations 5.6466 units were awarded accounting for 10.25 units of the sector. A total of 40.0916 units were awarded in 2020.

In 2021, SU received 34.53 units accounting for 25.74% of the overall units awarded to the sector. For innovations 2.5 units accounting for 5.82% of the sector. A total 37.03 of units were awarded to the sector.

In 2022, SU 39.1999 units accounting for 25.68% of the overall units awarded to the sector. There were no submissions for innovations.

The table indicates a general upward trend in the accrual of units of units for creative research outputs over the last four-year cycle, except for a notable decline in 2021. This decline can be attributed to the COVID-19 pandemic. For innovations, there is a steady submission rate over the four-year period, with some fluctuations in the number of units received.

3. University Submissions and Units Awarded 2022

A total of forty-one (41) items were submitted for 2022 subsidy claims, all for creative outputs. Table 2 shows a breakdown of approved and declined numbers per subfield and units awarded.

Table 2: Total Number of Submissions Reviewed and unit awarded, 2022

Sub-Field	Submissions	Approved	Declined	Units awarded
Fine Arts and Visual Arts	7	4	3	3.0333
Literary Arts	7	6	1	11
Music	26	25	1	24.1666
Theatre, Performance and Dance	1	1		1
Total	41	36	5	39.1999

The university outcomes of the evaluations, is summarised as follows:

- ***Fine Arts***

The university submitted 7 items for evaluation. Following the evaluations, the panel approved 4 items and declined 3 items. The declined items, along with reasons are listed in Table 3. Were. A total of 3.0333 units were awarded.

- ***Literary Arts***

The university submitted 7 items for evaluation. Following the evaluations, the panel approved 6 items and declined 1 item. The declined item, along with reasons is listed in Table 3. Were. A total of 11 units were awarded.

- ***Music***

The university submitted 26 items for evaluation. Following the evaluations, the panel approved 26 items and declined 1 item. The declined item, along with reasons is listed in Table 3. Were. A total of 24.1666 units were awarded.

- ***Theatre, Performance and Dance***

The university submitted 1 item for evaluation. Following the evaluations, the panel approved the item, and 1 unit was awarded.

Table 3: Items Declined with Reasons

DHET#	Category	Title of output	Reason
COFA2	Fine Arts	Speaking Likeness	The creative output forms part of Postgraduate study and no re-contextualization was provided

COFA4	Fine Arts	herri 6	The creative output is submitted under the incorrect sub-field panel. The submission is not a creative output. The applicant to contact journal of artistic research as to how they undertake peer reviews. This is an ongoing curatorial project.
COFA7	Fine Arts	Sida Tsoatsoas	The applicant has not substantially contributed to the creative output.
COLA3	Literary Arts	How I Lost My Mother	The creative output forms part of Postgraduate study and no re-contextualization was provided for the book.
COM14	Music	COMPLETE AFRIKOSMOS IN SIX VOLUMES	As stated in the annotation this work was commissioned and therefore does not meet the criteria for accreditation.

Table 4 shows awarded items along with author/ contributor proportion, and unit allocation.

Table 4: Total Units Awarded per Creative Output Category

DHET#	Category	Title of Output	Proportion of contributors	Units Awarded	Reason for unit allocation
COFA1	Fine Arts	Facial Reconstructions for the Sutherland Reburial Initiative	0.1	2	The creative output is expansive, reflects scholarly rigour and strong research sensibility; The historical component is integrated creatively with the conceptual approach to the discipline and makes the submission above what is required; The creator shows deep and thorough knowledge and understanding of both technical skills and research, and is able to position the work in the field of visual arts; The creative output is relevant and demonstrates originality, scholarly rigor, and is substantive thus bringing together theory, practice and process; The creative outputs meets all the minimum requirements and has sufficient international scholarly contribution.
COFA3	Fine Arts	Airborne	1	2	The creative output is expansive, reflects scholarly rigour and strong research sensibility; The historical component is integrated creatively with the conceptual approach to the discipline and makes the submission above what is required; The creator shows deep and thorough knowledge and understanding of both technical skills and research, and is able to position the work in the field of visual arts; The creative output is relevant and demonstrates originality, scholarly rigor, and is substantive thus bringing together theory, practice and process.
COFA5	Fine Arts	Inter-Face	0.3333	0.3333	The creative work is significant, meaningful and unique in a complex and compelling way; The creative output has relevant scholarly background and is well located within the visual arts discipline; The creative work is multi-disciplinary, innovative and adds to visual dialogue/ argument; The creative output contributes valuable interpretive insight and encourages further scholarly engagement
COFA6	Fine Arts	Klaar Gepraat 2020 & 2021	1	0.5	The work is compelling and nuance but not substantive for a unit.
COLA1	Literary Arts	Skepsel	1	1	The creative output is engaging and amalgamates the personal lyrical voice; The creative output meets the minimum requirement for accreditation.
COLA2	Literary Arts	By the Fading Light	1	2	The creative work is substantive with a strong theoretical framework and contributes to the subfield; The creative output is exceptional in its

					genesis and meets all the requirements; The creative work integrates research insights and creative acumen.
COLA4	Literary Arts	WayPoems	1	2	The creative work is substantive with a strong theoretical framework and contributes to the subfield; The creative output is exceptional in its genesis and meets all the requirements; The creative work integrates research insights and creative acumen.
COLA5	Literary Arts	Subtle Gravity	1	2	The creative work is substantive with a strong theoretical framework and contributes to the subfield. It is exceptional in its genesis and meets all the requirements. The creative work integrates research insights and creative acumen.
COLA7	Literary Arts	Die Wrede Relaa van Vuilgat en Stilte	1	2	The creative work is substantive with a strong theoretical framework and contributes to the subfield; The creative output is exceptional in its genesis and meets all the requirements; The creative work integrates research insights and creative acumen.
COM1	Music	Flute translations	1	1	The output meets the minimum requirement for accreditation
COM2	Music	Iliso	1	1	The output meets the minimum requirement for accreditation
COM3	Music	Stellenbosch University Choir Heaven's Flock	1	1	The output meets the minimum requirement for accreditation
COM4	Music	San Polyphony	1	1	The output meets the minimum requirement for accreditation
COM5	Music	X[iksa] violin - harp - image	1	1	The output meets the minimum requirement for accreditation
COM6	Music	Boeremusiek, Bourrée, en Volksmusiekvariasies (wat nie noodwendig saam pas nie)	1	0.5	This work is well composed for reed quintet and its scope fits the criteria for 0.5 units.
COM8	Music	Pergolesi Stabat Mater	1	1	The output meets the minimum requirement for accreditation
COM9	Music	Tombeau de Moerane	1	1	The output meets the minimum requirement for accreditation
COM10	Music	The West Patch	1	1	The output meets the minimum requirement for accreditation
COM11	Music	Die Sonkamer	1	1	The output meets the minimum requirement for accreditation
COM12	Music	The Beethoven Triple - A lockdown solidarity project (three artists on three different continents)	0.3333	0.6666	The output is exceptional and meets all the requirements. The output has resulted in further research activities
COM13	Music	Years Between Us	1	1	The output meets the minimum requirement for accreditation
COM15	Music	Ixilongo	1	1	The output meets the minimum requirement for accreditation

COM16	Music	Stellenbosch University Choir Wordfees 2021 Televised Performance	1	0.5	The recording of performance demonstrates appropriate research methodology and warrants 0.5 unit.
COM17	Music	Score-making	1	1	The output meets the minimum requirement for accreditation
COM18	Music	Refracted paraphrases/Fractured narratives	1	1	The output meets the minimum requirement for accreditation
COM19	Music	of Man and Sky	1	1	The output meets the minimum requirement for accreditation
COM20	Music	Homestead	1	1	The output meets the minimum requirement for accreditation
COM21	Music	Poiesis of Being	1	1	The output meets the minimum requirement for accreditation
COM22	Music	2021 South African Strings Foundation Symposium	1	1	The output meets the minimum requirement for accreditation
COM23	Music	Soloist with Cape Town Philharmonic Orchestra in Vivaldi/Uncertain Four Seasons	1	2	The output is exceptional and meets all the requirements. The output has resulted in further research activities
COM24	Music	Vieuxtemps concerto	1	1	The output meets the minimum requirement for accreditation
COM26	Music	2019 Anton Goosen en Vriende SUIDOOSTERFEES	1	0.5	This output demonstrates a certain level of artistic thought and innovation.
COTPD1	Theatre, Performance and Dance	Tweespoor	1	1	The creative output meets all the minimum requirements of creative originality, scholarship, new research insights, appropriate duration and is well located in its discipline

4. Recommendations

Overall, the university has generally followed the policy requirements for the submission of creative outputs and innovations, particularly in innovations. However, there are some identified areas that could be enhanced. In this context, the following recommendations are suggested:

- Should take note of the policy requirements for the recognised subfields. It is crucial that the creative research outputs submitted for subsidy claims align with the specified policy scope and implementation guidelines. Any submissions falling outside the defined scope should be avoided as they will not be considered for subsidy claims.
- Should emphasise the importance of demonstrating scholarly rigour in the applicant annotation, where the framing statement clearly articulates the scholarly aspect of the creative research process. This ensures that the evaluation process considers the academic rigour associated with the development of the creative research outputs.