



## STELLENBOSCH UNIVERSITY

### SUMMARY REPORT FOR CREATIVE OUTPUTS AND INNOVATIONS SUBMISSIONS, 2020

#### 1. Introduction

South African universities annually submit their creative outputs and innovations subsidy claims to the Department of Higher Education and Training (the Department) for evaluation and allocation of subsidy. All submissions must meet the requirements stipulated in the *Policy on the Evaluation of Creative Outputs and Innovations Produced by Higher Education Institutions (2017)* and the *Implementation Guidelines (2019)*. The policy recognises quality creative research outputs; evaluated outputs are used as proxy for research, thus the subsidy to universities. The policy covers the following sub-fields: *Fine and Visual Arts; Music; Theatre; Performance and Dance; Design; Film and Television and Literary Arts*. For innovations, the policy recognises: *Patents and Plant Breeder's Rights*.

The Department together with the National Research Foundation (NRF) have developed the Research Outputs Submission System (ROSS), for capturing all research outputs produced by universities. To ensure quality of the evaluation process, submitted creative research outputs and innovations are evaluated by field-specific panel of experts using pre-determined evaluation requirements in line with the policy and the policy and *Implementation Guidelines (2019)* developed for this purpose.

Approved submitted outputs may be allocated either 1 or 2 units. However, the final unit allocation is based on author/contributor proportion. This report presents the outcomes of creative research outputs and innovations for the 2020 submission cycle.

## 2. University Submissions and Units Awarded

The university submitted a total of fifty-six (56) items in 2020 for subsidy claims. Of the fifty-six (56) submitted items, thirty-nine (39) were for creative outputs, and seventeen (17) in innovations. A total of 38.0916 units were awarded. Table 1 indicates the number of approved and declined items and units awarded per subfield.

**Table 1: Total number of submissions reviewed, and units awarded, 2020**

Sub-Field	Submissions	Approved	Declined	Units awarded
Design	5	3	2	2.285
Fine Arts	4	3	1	6
Literary Arts	5	2	3	4
Music	16	15	1	14.16
Theatre, Performance and Dance	9	6	3	6
Innovations	17	7	10	5.6466
<b>Total</b>	<b>56</b>	<b>36</b>	<b>20</b>	<b>38,0916</b>

The university outcomes of the evaluations, is summarised as follows:

### **Design**

The university submitted 5 items for evaluations. Following the evaluations, 3 items were approved and 2 were declined. A total of 2.285 units were awarded for the approved items. The 2 declined items, along with its reason(s) are listed in table 2.

### **Fine Arts**

The university submitted 4 items for evaluations. Following the evaluations, 3 items were approved and 1 was declined. A total of 6 units were awarded for the approved items. The 1 declined item, along with its reason(s) are listed in table 2.

### **Literary Arts**

The university submitted 5 items for evaluations. Following the evaluations, 2 items were approved and 3 were declined. A total of 4 units were awarded for the approved items. The 3 declined items, along with its reason(s) are listed in table 2.

### **Music**

The university submitted 16 items for evaluations. Following the evaluations, 15 items were approved and 1 was declined. A total of 14.16 units were awarded for the approved items. The 1 declined item, along with its reason(s) are listed in table 2.

## **Theatre, Performance and Dance**

The university submitted 9 items for evaluations. Following the evaluations, 6 items were approved and 3 were declined. A total of 6 units were awarded for the approved items. The 3 declined items, along with its reason(s) are listed in table 2.

## **Innovations**

The university submitted 17 items for evaluations. Following the evaluations, 7 items were approved and 10 were declined. A total of 5.6466 units were awarded for the approved items. The 3 declined items, along with its reason(s) are listed in table 2.

Table 2 lists items that were declined with reasons.

**Table 2: Items declined with reasons.**

<b>DHET#</b>	<b>Category</b>	<b>Title of output</b>	<b>Reasons</b>
COD3	Design	Now-Now exhibition	The creative out has insufficient evidence provided
COD4	Design	Perfect Pair	The output does not meet the minimum requirements for accreditation.
COFA1	Fine Arts	Five Pieces for Piccolo and Tuba	The creative output does not demonstrate new research insights; The annotation does not demonstrate scholarly rigour; The evaluation panel could not eliminate the possibility of conflict of interest in the peer review process; The output does not meet the minimum requirements for accreditation.
COLA5	Literary Arts	Searching for Islamic Ethical Agency in Post-Apartheid Cape Town: An Anthology	The output does not meet the minimum requirements for accreditation.
COLA1	Literary Arts	Mammie (Dutch Translation of Afrikaans Hammie, by Ronelda Kamfer)	The creative output falls outside the scope of the policy
COLA4	Literary Arts	The Snow Sleeper	The creative output falls outside the scope of the policy
COM11	Music	Madiba: 'n Uitsonderlike roeping	In the absence of the soundtrack it is not possible to assess the originality of the output.

COTPD6	Theatre, Performance and Dance	Ons is almal freaks hier	The output does not meet the minimum requirements for accreditation.
COTPD7	Theatre, Performance and Dance	Swerfgoed	The output does not meet the minimum requirements for accreditation.
COTPD8	Theatre, Performance and Dance	Toutjies en Ferreira	The output does not meet the minimum requirements for accreditation.
CR2014-121	Invention/Patent	METHOD FOR TREATING OR ENHANCING MUSCLE TISSUE	Non-compliant, certificate of issuance was not submitted.
CR2014-203	Invention/Patent	CARGO SECURING APPARATUS	Non-compliant as all required documentation was not made available.
CR2015-27	Invention/Patent	IMAGING PHANTOM FOR RADIATION BASED EQUIPMENT	Non-compliant no certificate made available
CR2014-197	Invention/Patent	AN ANKLE IMAGING ACCESSORY	non-compliant all required documentation was not made available.
CR2015-208	Invention/Patent	METHOD OF PRODUCING A FERMENTED BEVERAGE	Non-compliant, all required documentation not available.
CR2016-162	Invention/Patent	PIPE CONDITION ASSESSMENT DEVICE AND SYSTEM	Non-compliant, all required documentation not available.
CR2016-161	Invention/Patent	AN ANTIMICROBIAL SOLUTION	Non-compliant, all required documentation not available.
CR2018-0466	New Plant Variety/Registration	US2017 - Triticale cultivar	Technical questionnaire provided but there are no illustrations.
CR2018-0463	New Plant Variety/Registration	US2018 - Triticale cultivar	Technical questionnaire does not include description.
CR2018-0462	New Plant Variety/Registration	US2019 - Triticale cultivar	Technical questionnaire does not provide descriptions.

Table 3 lists awarded items with their corresponding units.

**Table 3: Units awarded and proportion of contributors.**

DHET#	Category	Title Of Output	Proportion Of Contributors	Units awarded
COD1	Design	South African Contemporary Jewellery Awards Exhibition 2019	1	1
COD2	Design	PPC Imaginarium	1	1
COD3	Design	Now-Now exhibition	1	0
COD4	Design	Perfect Pair	1	0
COD5	Design	herri	0.285	0.285
COFA2	Fine Arts	Domestic lives, Nomadic belongings	1	2

COFA3	Fine Arts	When	1	2
COFA4	Fine Arts	Invasion	1	2
COFA1	Fine Arts	Five Pieces for Piccolo and Tuba	1	0
COLA5	Literary Arts	Searching for Islamic Ethical Agency in Post-Apartheid Cape Town: An Anthology	1	0
COLA1	Literary Arts	Mammie (Dutch Translation of Afrikaans Hammie, by Ronelda Kamfer)	1	0
COLA2	Literary Arts	Night for Day	1	2
COLA3	Literary Arts	Otherwise Occupied	1	2
COLA4	Literary Arts	The Snow Sleeper	1	0
COM1	Music	Concert with Ensemble VoCantus 2017	1	1
COM2	Music	Concert Tour with the SU Chamber Choir to Hong Kong, China	1	1
COM3	Music	Johann Sebastian Bach 'Weihnachtsoratorium I - VI'	1	2
COM4	Music	My Miriam Makeba Story	1	1
COM5	Music	Mahler's Das Lied von der Erde	0.66	0.66
COM6	Music	SCHOENFIELD PIANO TRIO	0.33	0.33
COM7	Music	KAPUSTIN PIANO CONCERTO NO 2	1	1
COM8	Music	ONGOING ENGAGEMENT WITH BOW MUSIC 2018-2019	1	1
COM9	Music	Let the Good Times Roll! (L'Apothéose de Berlioz) for symphonic wind band (2019)	1	1
COM10	Music	Eet Kreef Herleef!	0.17	0.17
COM11	Music	Madiba: 'n Uitsonderlike roeping	0.33	0
COM12	Music	Kathleen Tagg & Andre Petersen, Where Worlds Collide	1	1
COM13	Music	Album Production: University of Pretoria Camerata: Indodana	1	1
COM14	Music	Album production: Stellenbosch University Choir: Shadows and WIngs	1	1
COM15	Music	Kuesta 2017 KykNet Broadcast	1	1
COM16	Music	Brocken	1	0
COM17	Music	Prinz Bettliiegend musical directing	1	1
COTPD9	Theatre, Performance and Dance	Goue Lökkies en die Drie Bere	1	1
COTPD4	Theatre, Performance and Dance	Hansie en Grietjie	1	1

COTPD5	Theatre, Performance and Dance	tot stof   tot stile - 'n paniekreaksie	1	1
COTPD6	Theatre, Performance and Dance	Ons is almal freaks hier	1	0
COTPD7	Theatre, Performance and Dance	Swerfgoed	1	0
COTPD8	Theatre, Performance and Dance	Toutjies en Ferreira	0.5	0
COTPD1	Theatre, Performance and Dance	Prinz Bettliend	1	1
COTPD2	Theatre, Performance and Dance	Wag, ek kry gou my foon [en soos sulke goed]	1	1
COTPD3	Theatre, Performance and Dance	Die Eidelose Konstellasies: 'n Gedagtedroom	1	1
CR2014-109	Invention/Patent	METHOD FOR DIAGNOSING TUBERCULOSIS	0.3333	0.6666
CR2014-114	Invention/Patent	A MODIFIED BETA-FRUCTOFURANOSIDASE FOR FRUCTOOLIGOSACCHARIDE PRODUCTION	0.25	0.5
CR2014-121	Invention/Patent	METHOD FOR TREATING OR ENHANCING MUSCLE TISSUE	0.5	0
CR2014-194	Invention/Patent	METHOD FOR PREVENTING OR TREATING MICROBIAL GROWTH ON A MANUFACTURED PRODUCT	0.5	1
CR2014-199	Invention/Patent	A METHOD AND COMPOSITION FOR TREATING BREAST CANCER	0.5	1
CR2015-48	Invention/Patent	CONJUGATE FOR TREATING MALARIA	0.34	0.68
CR2014-203	Invention/Patent	CARGO SECURING APPARATUS	1	0
CR2015-31	Invention/Patent	TRAVELLING IRRIGATION SPRINKLERS AND ASSEMBLIES	0.5	1
CR2015-27	Invention/Patent	IMAGING PHANTOM FOR RADIATION BASED EQUIPMENT	1	0
CR2014-197	Invention/Patent	AN ANKLE IMAGING ACCESSORY	1	0
CR2015-208	Invention/Patent	METHOD OF PRODUCING A FERMENTED BEVERAGE	0.5	0
CR2016-162	Invention/Patent	PIPE CONDITION ASSESSMENT DEVICE AND SYSTEM	0.125	0
CR2016-161	Invention/Patent	AN ANTIMICROBIAL SOLUTION	0.5	0
CR2016-176	Invention/Patent	RECOMBINANT YEAST AND USE THEREOF	0.4	0.8

CR2018-0466	New Plant Variety/Registration	US2017 - Triticale cultivar	0.7	0
CR2018-0463	New Plant Variety/Registration	US2018 - Triticale cultivar	0.7	0
CR2018-0462	New Plant Variety/Registration	US2019 - Triticale cultivar	0.7	0

**3. Recommendation**

The Department has noted an increase and compliance in the overall submissions received from the university. To ensure continued improvement from institutions, the Department recommends the following:

- Should ensure that there is significant recontextualization of creative works and this should be demonstrated in the applicant annotation, and the creative output.
- Should ensure that peer reviewers are familiar with the Implementation Guidelines (2019) and the policy.
- Should ensure not to source 2 peer reviewers for one item from the same institution, but rather ensure a wide reach in their use of peer reviewers.
- Should ensure that all required documentation listed in the implementation guidelines for innovations (2019) are provided thus ensuring compliance.